



## **INTRODUCTION TO INDIA RISK MANAGEMENT AWARDS**

ICICI Lombard and CNBC-TV18 welcome you to participate in the 8th edition of the India Risk Management Awards.

It is our endeavor to recognize the best risk management initiatives in the industry which are innovative, path-defining and yet grounded in robust risk management fundamentals.

In this edition of India Risk Management Awards, the evaluation process will be undertaken through 2 nomination forms: -

- Objective Form – Contains short objective questions
- Subjective Form – Contains descriptive/elaborate questions

The nomination forms are designed to understand and assess your risk management practices and associated domains of risk management. All participating organizations are required to submit their responses in the Objective Form first.

Thereafter, only the shortlisted respondents will be required to submit the Subjective Form on risk management. We encourage you to share your risk management stories with us in form of articles, case studies and/or success stories.

### ***1. Eligibility criteria***

- Participant organisation must be registered in India as a sole proprietorship, partnership, public company, private company, HUF or trust MSMEs having at least 2 complete years of operations in India as on March 31, 2021
- An organisation cannot participate on behalf of its sister units; parent organisation or other organisations under the parent organisation.
- Insurance companies are not eligible to participate
- The final eligibility of the Participant will be subject to the discretion and approval of Jury and/ or Awards Management

### ***2. List of nomination categories:***

While participants can apply for multiple categories, please go through the criteria below and description of nomination category before submitting your nomination.

- You can apply for only one category from among award categories 1 to 4 in the list below.
- You can apply for only one category from among award categories 5 to 24 in the list below.
- You can apply for multiple categories from among award categories 25 to 30 in the list below.

<b>General nomination categories – Masters of Risk</b>		
1	<b>Conglomerate</b>	This award is for a conglomerate that has developed best in class risk management strategies and processes.
2	<b>Private Company</b>	This award is for a Private Company (Listed/Unlisted) that has developed best in class risk management strategies and processes
3	<b>PSU</b>	This award is for a PSU that has developed best in class risk management strategies and processes
4	<b>Agriculture</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Agriculture Industry
5	<b>Automotive - OEM</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Automotive - OEM Industry
6	<b>Auto - ancillary</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Automotive - Ancillary Industry
7	<b>Aviation</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Aviation industry
8	<b>Capital projects &amp; infra</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Capital Projects and Infrastructure Industry
9	<b>Education</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Education Industry
10	<b>FMCG</b>	This award is for an organisation that has developed best in class risk management strategies and processes within FMCG Industry
11	<b>IT – ITeS</b>	This award is for an organisation that has developed best in class risk management strategies and processes within IT-ITeS Industry
12	<b>Metals &amp; Mining</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Metals and Mining Industry
13	<b>Healthcare</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Healthcare Industry
14	<b>Pharma</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Pharma Industry

15	<b>Power</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Power Industry
16	<b>Real Estate</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Real Estate
17	<b>Retail</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Retail Industry
18	<b>Hospitality</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Hospitality Industry
19	<b>Media and Entertainment</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Entertainment Industry
20	<b>Telecom</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Telecom Industry
21	<b>Banking (Private)</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Private Banking Industry
22	<b>Banking (Public)</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Public Banking Industry
23	<b>NBFC</b>	This award is for an organisation that has developed best in class risk management strategies and processes within NBFC Industry
24	<b>Mutual Funds</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Mutual Funds Industry
25	<b>E-Commerce</b>	This award is for an organisation that has developed best in class risk management strategies and processes within the E-Commerce Industry
26	<b>Logistics</b>	This award shall be for an organisation that has developed best-in-class logistics risk management strategies and processes.
<b><i>Specialized nomination categories</i></b>		
27	<b>Business Continuity</b>	This award shall be for an organisation that has developed best-in-class business continuity risk management strategies and processes.
28	<b>Cyber security</b>	This award shall be for an organisation that has developed best-in-class cyber risk management strategies and processes.
29	<b>Risk governance</b>	This award shall be for 'excellence' for an organisation that has instituted best-in-class risk governance framework.
30	<b>Environmental, Social, and Governance</b>	This award shall be for an organisation that has developed best-in-class Environmental, Social, and Governance processes.

31	<b>Technology</b>	This award shall be for an organization that has leveraged technology extensively in risk management domain.
32	<b>Regulatory Compliance Management</b>	This award shall be for an organization that has instituted best in-class Compliance Management Framework.
33	<b>Fraud Prevention and Ethics Management</b>	This award shall be for an organization that has instituted best in-class Ethics and Fraud Risk Management Framework.
34	<b>Brand &amp; Social Media Risk Management</b>	This award shall be for an organization that has instituted best in-class Brand and Social Media Risk Management Framework.
35	<b>Supply Chain Risk Management</b>	This award shall be for an organization that has instituted best in-class Supply Chain Risk Management.
36	<b>Business Model Adaptability</b>	This award shall be for an organization that has shown exemplary performance in adapting their business model to the current COVID-19 situation.

### **3. Instructions for submitting nominations:**

- Forms should be filled in English. Please submit your responses in the editable nomination forms only.
- The Objective Form contains 4 questions, against which multiple statements are provided. You are requested to tick the statements which are applicable to your organization.
- Section I (Participant Information), refer page no – 7, is mandatory for all participants.
- Section III (General category nomination – Objective Form), refer page no – 11, is mandatory for all participants.
- If you are applying for one or more award categories from 1 to 24 in the above list (and are not applying for any category from 24 – 29 in the above list), you are required to submit ‘CNBC-TV18 IRMA Nomination form’ only.
- If you are applying for one or more of award categories from 25 to 30 (Specialized Nomination Categories) in the above list, please download the specific nomination form(s) from Section II (Page 10) and send across the filled up form(s) along with completed ‘CNBC-TV18 IRMA nomination form’ at the email address specified below.
- All questions must be answered. Incomplete forms may not be considered.
- Participants will receive a confirmation email within 10 working days of submission of their nomination form. Awards Management will not be responsible for nomination forms that are lost due to lapse in any communication on account of internet /server failure.
- By submitting this nomination form, you agree to abide by all terms and conditions including the declaration form.
- Ensure the ‘Declaration’ at the end of the form is filled and shared by an authorized representative of the company.
- Nomination form(s) complete in all aspects should be mailed to [cnbc@webcloud-tech.com](mailto:cnbc@webcloud-tech.com) from your official email ID latest by **[Date]**, **[Time (IST)]**
- Please maintain one copy of the completed form with you for your records.

### **Illustrative examples:**

1. If you are applying for ‘Masters of Risk– Private Company’ and ‘Masters of Risk– Metals & mining’, then please fill and submit the ‘CNBC-TV18 IRMA nomination form’ only.
2. If you are applying for ‘Masters of Risk– Private Company’, ‘Masters of Risk– Metals & mining’ and ‘Masters of Risk– Cyber security’, then please submit the nomination form for ‘Masters of Risk– Cyber security’ (downloaded from page 10 of this document) along with ‘CNBC-TV18 IRMA nomination form’.
3. If you are applying for ‘Masters of Risk– Private Company’, ‘Masters of Risk– Metals & mining’, ‘Masters of Risk– Cyber security’ and ‘Masters of Risk – Business continuity’, then please submit the nomination forms for ‘Masters of Risk– Cyber security’, ‘Masters of Risk – Business continuity’ and ‘CNBC-TV18 IRMA nomination form’.
4. If you are applying for ‘Masters of Risk – Cyber security’ only, then please submit the nomination form for ‘Masters of Risk – Cyber security’ along with ‘CNBC-TV18 IRMA nomination form’.

### ***4. Terms & Conditions***

- Employees of ICICI Lombard, CNBC-TV18 & Network18 and Knowledge Partner are not allowed to participate in the Awards.
- Participants may be contacted for any additional information to verify the information provided. Such information sourced from the Participants will become part of the original application. If such a request is made and the Participant does not comply within 5 working days from the date the request is made, the Participant may be disqualified from participation in the Awards. Awards Management or team appointed by Awards Management will try to contact the Participant on best effort basis by any means deemed appropriate.
- Information provided by the Participant will be confidential and will be used only for the limited purpose of evaluating the Participant’s entry to these Awards and preparing industry reports (without identifier) and participant reports.
- Awards Management shall not be liable in any manner for any mishap, accident, injury or damages etc. of whatsoever nature, caused to the participants during the Awards. Further, Awards Management shall not be liable in any manner for any loss, damage, theft, or any other mishap caused during the Awards.
- Receipt of application forms after last date of receipt specified may be permitted only at the discretion of the Awards Management.
- If at any time, including after the conclusion of any of the award ceremony, any information provided by any Participant(s), is found to be incorrect in any manner, then the Participant will be liable to be disqualified and / or return the Award.
- Decision of Awards Management on all matters including tie breakers and determination of award winners is final and binding on all Participants and no correspondence will be entertained on the same.

- By participating in the Awards, the participant agrees to abide by and be bound by Terms & Conditions established by the Awards Management Team.
- All disputes relating to or arising out of the Awards shall be subject to the laws of India, and shall be subject to the exclusive jurisdiction of the courts of competent jurisdiction at Delhi, India

In case of any queries, please feel free to contact the following:

Name: Annu Contact number: +91 9820775032 E-mail id: <a href="mailto:cnbc@webcloud-tech.com">cnbc@webcloud-tech.com</a>
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**SECTION I**  
**PARTICIPANT INFORMATION**

**1. Respondent's details**

i	Your Name	
ii	E – mail	
iii	Contact No.	
iv	Designation	

**2. Organisational details**

i	Name of the entity / organisation	
ii	Years of operation of entity in India	
iii	No of employees on payroll	
iv	Organisation's revenue (standalone) in FY 20-21 in INR crore	
v	Organisation's revenue (standalone) in FY 19-20 in INR crore	
vi	Organisation's EBITDA (standalone) in FY 20-21 in INR crore	
vii	Organisation's EBITDA (standalone) in FY 19-20 in INR crore	

**3. Nomination details**

1. Which of the following best describes your organisation's core business? (Please type 'Yes' wherever applicable. You can select multiple options.)

i	Agriculture	
ii	Automotive – OEM	
iii	Auto – ancillary	
iv	Aviation	
v	Aerospace ancillary	
vi	Banking	
vii	Capital projects & infra	
viii	Education	
ix	FMCG	
x	IT – IteS	
xi	Logistics	
xii	Metals & mining	
xiii	Pharma & Healthcare	
xiv	Power	
xv	Real Estate	
xvi	Retail	
xvii	Telecom	

xviii	Travel & Leisure	
xix	Mutual Funds	
xx	Insurance	
xxi	Others	

2. Please type 'Yes' against the categories for which you wish to submit your nomination(s) (Please refer to the 'instructions on submitting nominations' on page 3).

### General Category Awards – Masters of Risk

1	<b>Conglomerate</b>	
2	<b>Private Company</b>	
3	<b>PSU</b>	
4	<b>Agriculture</b>	
5	<b>Automotive - OEM</b>	
6	<b>Auto - ancillary</b>	
7	<b>Aviation</b>	
8	<b>Capital projects &amp; infra</b>	
9	<b>Education</b>	
10	<b>FMCG</b>	
11	<b>IT – ITeS</b>	
12	<b>Metals &amp; Mining</b>	
13	<b>Healthcare</b>	
14	<b>Pharma</b>	
15	<b>Power</b>	
16	<b>Real Estate</b>	
17	<b>Retail</b>	
18	<b>Hospitality</b>	
19	<b>Media and Entertainment</b>	
20	<b>Telecom</b>	
21	<b>Banking (Private)</b>	
22	<b>Banking (Public)</b>	
23	<b>NBFC</b>	
24	<b>Mutual Funds</b>	
25	<b>E-Commerce</b>	
26	<b>Logistics</b>	

### Specialized Category Awards

Please type 'Yes' against the categories for which you wish to submit your nomination(s)

1	<b>Business Continuity</b>	
2	<b>Cyber security</b>	
3	<b>Risk governance</b>	
4	<b>Environmental, Social, and Governance</b>	



5	<b>Technology</b>	
6	<b>Regulatory Compliance Management</b>	
7	<b>Fraud Prevention and Ethics Management</b>	
8	<b>Brand &amp; Social Media Risk Management</b>	
9	<b>Supply Chain Risk Management</b>	
10	<b>Business Model Adaptability</b>	

*\*\* If you are submitting your nomination for one or more categories from **specialized award categories**, please download the respective nomination form(s) from Section II (page 10) of this document. Please refer to Page 3 (Instructions for submitting nomination forms) of this document for details.*

## **SECTION II**

### **SPECIALIZED NOMINATION CATEGORY FORMS**

Please open the PDF form for the nomination categories you have applied for in Section I by double-clicking on the ' ' next to the respective category PDF from the list below.

To save the downloaded forms with the responses entered, Click on 'Print' and select the software used by your organization to save the file as a PDF. Ensure that responses are visible in the saved PDF and send it across along with the completed 'CNBC-TV18 IRMA Nomination Form'



Fraud prevention  
and Ethics Manager

Fraud Prevention and  
Ethics Management



Brand and Social  
Media Risk Manager

Brand and Social Media  
Risk Management



BUSINESS  
CONTINUITY RISK M.

Business Continuity Risk  
Management



CYBER SECURITY  
RISK MANAGEMENT.

Cyber Security Risk  
Management



RISK  
GOVERNANCE.docx

Risk Governance



RISK  
TECHNOLOGY.docx

Risk Technology



Environmental,  
Social, and Governan

Environmental, Social  
and Governance



Supply Chain Risk  
Management.docx

Supply Chain Risk  
Management



Regulatory  
Compliance Manage

Regulatory Compliance  
Management



Business Model  
Adaptability.docx

Business Model  
Adaptability

**SECTION III**  
**GENERAL CATEGORY NOMINATION FORM**  
**OBJECTIVE FORM**

*This form has 4 questions.*

1. Are the following in place at your organization? Please type 'Yes' wherever applicable.

Board approved Risk Management Policy	
Dedicated board sub-committee for Risk Management	
Cross Functional Management Committee for Risk Management	
Dedicated Risk Management Function/Chief Risk Officer	
Board approved Risk Appetite Statement	

2. Please indicate whether the following leading practices for effective risk management are incorporated at your organization. Please type 'Yes' wherever applicable.

Risk assessment is carried out annually, along with the business planning process and integrated with strategy	
A robust mechanism for identifying emerging risks	
Existence of a defined Risk rating criteria (assessment guidelines) covering impact/probability/velocity etc.	
Key Risk Indicators have been defined for critical risks and dynamically monitored	
Risk management responsibilities and considerations are embedded into KPIs of key organizational stakeholders.	

3. People and culture are integral to success of any risk management program. Which of the following activities are performed in your organization? Please type 'Yes' wherever applicable.

Risk Management training to all new joiners	
Risk Management training to Management and key stakeholders	
Leadership communications setting the tone for risk management	
Risk culture assessment across organization	

4. Which of the following technology and analytics related enablers are in place at your organization. Please type 'Yes' wherever applicable.

Leveraging technology to enable ERM function	
Scenario analysis for risk assessment	
Advanced analytics to identify trends and patterns based on risk based data	

## DECLARATION

I, \_\_\_\_\_ [*name of declarant*] hold the position of  
\_\_\_\_\_ [*designation*] in  
\_\_\_\_\_ [*participating company*] and I hereby declare  
the following:

- By submitting this form from the company's official email ID, I hereby confirm that I am duly authorized to make this declaration as an authorized representative of the company.
- All the information contained in this application is true and accurate to the best of my knowledge.
- I understand that CNBC-TV18 and its affiliates may choose not to take steps to verify the information contained therein, and responsibility for any harm or damages caused by my fraudulent or negligent misrepresentations will be my own. I also agree to abide by the terms and conditions mentioned on the Awards website and 'CNBC-TV18 IRMA Nomination Form'
- I authorize CNBC-TV18 and its affiliates to use the content submitted as part of this entry, in whole or in part and use and display such entry, which shall include trade publications, press releases, electronic posting to the Awards website, electronic hyperlinks to the website of the Participant, and any display format selected by CNBC-TV18 during the awards ceremony or at a later point in time, for a period of five years. Any such use shall ensure confidentiality and anonymity of information and data, as applicable.

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

Date: \_\_\_\_\_

This declaration along with all other details pertaining to 'CNBC-TV18 IRMA Nomination Form' are authorized and validated by the sender and shared using an official email ID of the organization.

This declaration must be filled by an authorized signatory (preferably Chief Executive Officer or Chief Risk Officer) from the participant organization.

*In absence of a duly filled 'Declaration' document, your nomination may not be considered.*