



## INTRODUCTION TO INDIA RISK MANAGEMENT AWARDS

### Medium-level Companies (Annual turnover: 500-2000 Crores)

ICICI Lombard and CNBC-TV18 welcome you to participate in the 8th edition of the India Risk Management Awards.

It is our endeavor to recognize the best risk management initiatives in the industry which are innovative, path-defining and yet grounded in robust risk management fundamentals.

We encourage you to share your risk management stories with us in form of articles, case studies and/or success stories.

#### **1. Eligibility criteria**

- Participant organisation must be registered in India as a sole proprietorship, partnership, public company, private company, HUF or trust MSMEs having at least 2 complete years of operations in India as on March 31, 2021
- An organisation cannot participate on behalf of its sister units; parent organisation or other organisations under the parent organisation.
- Insurance companies are not eligible to participate
- The final eligibility of the Participant will be subject to the discretion and approval of Jury and/ or Awards Management.
- Participant must have a revenue between INR 500-2000 Cr in **FY 20-21**.

## 2. List of nomination categories:

While participants can apply for multiple categories, please go through the criteria below and description of nomination category before submitting your nomination.

- You can apply for **only one** category

<b>General nomination categories – Masters of Risk</b>		
1	<b>Conglomerate</b>	This award is for a conglomerate that has developed best in class risk management strategies and processes.
2	<b>Private Company</b>	This award is for a Private Company (Listed/Unlisted) that has developed best in class risk management strategies and processes
3	<b>PSU</b>	This award is for a PSU that has developed best in class risk management strategies and processes
4	<b>Agriculture</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Agriculture Industry
5	<b>Automotive - OEM</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Automotive - OEM Industry
6	<b>Auto - ancillary</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Automotive - Ancillary Industry
7	<b>Aviation</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Aviation industry
8	<b>Capital projects &amp; infra</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Capital Projects and Infrastructure Industry
9	<b>Education</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Education Industry
10	<b>FMCG</b>	This award is for an organisation that has developed best in class risk management strategies and processes within FMCG Industry
11	<b>IT – ITeS</b>	This award is for an organisation that has developed best in class risk management strategies and processes within IT-ITeS Industry
12	<b>Metals &amp; Mining</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Metals and Mining Industry
13	<b>Healthcare</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Healthcare Industry
14	<b>Pharma</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Pharma Industry
15	<b>Power</b>	This award is for an organisation that has developed

		best in class risk management strategies and processes within Power Industry
16	<b>Real Estate</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Real Estate
17	<b>Retail</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Retail Industry
18	<b>Hospitality</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Hospitality Industry
19	<b>Media and Entertainment</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Entertainment Industry
20	<b>Telecom</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Telecom Industry
21	<b>Banking (Private)</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Private Banking Industry
22	<b>Banking (Public)</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Public Banking Industry
23	<b>NBFC</b>	This award is for an organisation that has developed best in class risk management strategies and processes within NBFC Industry
24	<b>Mutual Funds</b>	This award is for an organisation that has developed best in class risk management strategies and processes within Mutual Funds Industry
25	<b>E-Commerce</b>	This award is for an organisation that has developed best in class risk management strategies and processes within the E-Commerce Industry
26	<b>Logistics</b>	This award shall be for an organisation that has developed best-in-class logistics risk management strategies and processes.
<b><i>Specialized nomination categories</i></b>		
27	<b>Business Continuity</b>	This award shall be for an organisation that has developed best-in-class business continuity risk management strategies and processes.
28	<b>Cyber security</b>	This award shall be for an organisation that has developed best-in-class cyber risk management strategies and processes.
29	<b>Risk governance</b>	This award shall be for 'excellence' for an organisation that has instituted best-in-class risk governance framework.
30	<b>Environmental, Social, and Governance</b>	This award shall be for an organisation that has developed best-in-class Environmental, Social, and Governance processes.
31	<b>Technology</b>	This award shall be for an organization that has leveraged technology extensively in risk management

		domain.
32	<b>Regulatory Compliance Management</b>	This award shall be for an organization that has instituted best in-class Compliance Management Framework.
33	<b>Fraud Prevention and Ethics Management</b>	This award shall be for an organization that has instituted best in-class Ethics and Fraud Risk Management Framework.
34	<b>Brand &amp; Social Media Risk Management</b>	This award shall be for an organization that has instituted best in-class Brand and Social Media Risk Management Framework.
35	<b>Supply Chain Risk Management</b>	This award shall be for an organization that has instituted best in-class Supply Chain Risk Management.
36	<b>Business Model Adaptability</b>	This award shall be for an organization that has shown exemplary performance in adapting their business model to the current COVID-19 situation.

### **3. Instructions for submitting nominations:**

- Forms should be filled in English. Please submit your responses in the editable nomination forms only.
- The Form contains subjective and objective questions. You are requested to respond with the responses that are applicable to your organization.
- Participants will receive a confirmation email within 10 working days of submission of their nomination form. Awards Management will not be responsible for nomination forms that are lost due to lapse in any communication on account of internet /server failure.
- By submitting this nomination form, you agree to abide by all terms and conditions including the declaration form.
- Ensure the 'Declaration' at the end of the form is filled and shared by an authorized representative of the company.
- Nomination form(s) complete in all aspects should be mailed to [cnbc@webcloud-tech.com](mailto:cnbc@webcloud-tech.com) from your official email ID latest by [Date] and [Time] (IST)
- Please maintain one copy of the completed form with you for your records.

### **4. Terms & Conditions**

- Employees of ICICI Lombard, CNBC-TV18 & Network18 and Knowledge Partner are not allowed to participate in the Awards.
- Participants may be contacted for any additional information to verify the information provided. Such information sourced from the Participants will become part of the original application. If such a request is made and the Participant does not comply within 5 working days from the date the request is made, the Participant may be disqualified from participation in the Awards. Awards Management or team appointed by Awards Management will try to contact the Participant on best effort basis by any means deemed appropriate.

- Information provided by the Participant will be confidential and will be used only for the limited purpose of evaluating the Participant's entry to these Awards and preparing industry reports (without identifier) and participant reports.
- Awards Management shall not be liable in any manner for any mishap, accident, injury or damages etc. of whatsoever nature, caused to the participants during the Awards. Further, Awards Management shall not be liable in any manner for any loss, damage, theft, or any other mishap caused during the Awards.
- Receipt of application forms after last date of receipt specified may be permitted only at the discretion of the Awards Management.
- If at any time, including after the conclusion of any of the award ceremony, any information provided by any Participant(s), is found to be incorrect in any manner, then the Participant will be liable to be disqualified and / or return the Award.
- Decision of Awards Management on all matters including tie breakers and determination of award winners is final and binding on all Participants and no correspondence will be entertained on the same.
- By participating in the Awards, the participant agrees to abide by and be bound by Terms & Conditions established by the Awards Management Team.
- All disputes relating to or arising out of the Awards shall be subject to the laws of India, and shall be subject to the exclusive jurisdiction of the courts of competent jurisdiction at Delhi, India

In case of any queries, please feel free to contact the following:

Name: Annu
Contact number: +91 9820775032
E-mail id: <a href="mailto:cnbc@webcloud-tech.com">cnbc@webcloud-tech.com</a>

## PARTICIPANT INFORMATION

### 1. Respondent's details

i.	Name	
ii.	E – mail	
iii.	Contact No.	
iv.	Designation	

2. Please type 'Yes' against the category for which you wish to submit your nomination

### General Category Awards – Masters of Risk

1	<b>Conglomerate</b>	
2	<b>Private Company</b>	
3	<b>PSU</b>	
4	<b>Agriculture</b>	
5	<b>Automotive - OEM</b>	
6	<b>Auto - ancillary</b>	
7	<b>Aviation</b>	
8	<b>Capital projects &amp; infra</b>	
9	<b>Education</b>	
10	<b>FMCG</b>	
11	<b>IT – ITeS</b>	
12	<b>Metals &amp; Mining</b>	
13	<b>Healthcare</b>	
14	<b>Pharma</b>	
15	<b>Power</b>	
16	<b>Real Estate</b>	
17	<b>Retail</b>	
18	<b>Hospitality</b>	
19	<b>Media and Entertainment</b>	
20	<b>Telecom</b>	
21	<b>Banking (Private)</b>	
22	<b>Banking (Public)</b>	
23	<b>NBFC</b>	
24	<b>Mutual Funds</b>	
25	<b>E-Commerce</b>	
26	<b>Logistics</b>	

### Specialized Category Awards

Please type 'Yes' against the categories for which you wish to submit your nomination(s)

1	<b>Business Continuity</b>	
2	<b>Cyber security</b>	

3	<b>Risk governance</b>	
4	<b>Environmental, Social, and Governance</b>	
5	<b>Technology</b>	
6	<b>Regulatory Compliance Management</b>	
7	<b>Fraud Prevention and Ethics Management</b>	
8	<b>Brand &amp; Social Media Risk Management</b>	
9	<b>Supply Chain Risk Management</b>	
10	<b>Business Model Adaptability</b>	

## **SPECIALIZED NOMINATION CATEGORY FORMS**

Please open the PDF form for the nomination categories you have applied for in Section I by double-clicking on the ' ' next to the respective category PDF from the list below.

To save the downloaded forms with the responses entered, Click on 'Print' and select the software used by your organization to save the file as a PDF. Ensure that responses are visible in the saved PDF and send it across along with the completed 'CNBC-TV18 IRMA Nomination Form'



Fraud prevention  
and Ethics Manager

**Fraud Prevention and  
Ethics Management**



Brand and Social  
Media Risk Manage

**Brand and Social  
Media Risk  
Management**



BUSINESS  
CONTINUITY RISK M.

**Business Continuity  
Risk Management**



Business Model  
Adaptability.docx

**Business Model  
Adaptability**



CYBER SECURITY  
RISK MANAGEMENT.

**Cyber Security Risk  
Management**



Environmental,  
Social, and Govern  
Environmental, Social  
and Governance

Regulatory  
Compliance Manage  
Regulatory Compliance  
Management



RISK  
GOVERNANCE.docx

**Risk Governance**



RISK  
TECHNOLOGY.docx

**Risk Technology**



Supply Chain Risk  
Management.docx

**Supply Chain Risk  
Management**



## GENERAL CATEGORY NOMINATION FORM

### 1. Performance

Please provide following information pertaining to FY 2020-2021 and FY 2019-2020:

S No	Particulars	FY 20-21	FY 19-20
i.	Years of operation of entity in India		
ii.	No. of full-time employees		
iii.	EBITDA		
iv.	Annual turnover		
v.	Total Assets		
vi.	Total Liabilities		

### 2. Risk Management

Please respond to following questions with a 'Yes' or 'No' as applicable for your organizations.

S No	Risk Management practices	Response
1	Are there any policies / formal practices laid out for risk management?	
2	Have risk management roles and responsibilities been allocated to any executives in the management?	
3	Are there any formal processes to identify and manage key risks applicable for the company?	
4	Are there documented standard operating procedures / operating manuals for key processes / functions?	

### 3. Technology

Please select which of the technologies / IT platforms are used in your organization. Mark 'Y' as applicable.

S No	Technology solution	Response
1	Separate system for Financial & key operations	
2	Separate system only for Finance & Accounting activities	
3	Leverage separate solutions for inventory and sales management	

4	Leverage separate solutions for HR and Payroll	
5	Leverage Cloud based solutions for technology deployment	
6	Deployed ERP (Enterprise Resource Planning) for end to end process and value chain	
7	Any other technology solution (please add in response field)	

#### 4. Internal Audit & Compliance Management

How are compliance to various internal and regulatory requirements like Labour laws, Company's Act, GST etc. managed? Please indicate 'Y' as applicable

Particulars	In-house team	Hybrid (In-house + outsourced)	Outsourced team	No mechanism	Remarks
Internal Audit					
Legal Compliance (Non Tax)					
Tax					

#### 5. Effectiveness of Risk Management Processes

a) Describe in detail how your company manages risks across the following areas?

Risk Identification	
Risk Prioritization	
Risk Mitigation	
Risk Monitoring	
Emerging Risks	

How has it benefitted the organization's performance in the past? Please share examples in brief, if any.

b) Describe some key actions taken by your company to mitigate the disruptive effects of the Covid-19 pandemic, such as remote working, managing employee health and safety, mental health disruptions, layoffs and closures, working capital management.

## DECLARATION

I, \_\_\_\_\_ [name of declarant] hold the position of  
\_\_\_\_\_ [designation] in  
\_\_\_\_\_ [participating company] and I hereby declare  
the following:

- By submitting this form from the company's official email ID, I hereby confirm that I am duly authorized to make this declaration as an authorized representative of the company.
- All the information contained in this application is true and accurate to the best of my knowledge.
- I understand that CNBC-TV18 and its affiliates may choose not to take steps to verify the information contained therein, and responsibility for any harm or damages caused by my fraudulent or negligent misrepresentations will be my own. I also agree to abide by the terms and conditions mentioned on the Awards website and 'CNBC-TV18 IRMA Nomination Form'
- I authorize CNBC-TV18 and its affiliates to use the content submitted as part of this entry, in whole or in part and use and display such entry, which shall include trade publications, press releases, electronic posting to the Awards website, electronic hyperlinks to the website of the Participant, and any display format selected by CNBC-TV18 during the awards ceremony or at a later point in time, for a period of five years. Any such use shall ensure confidentiality and anonymity of information and data, as applicable.

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

Date: \_\_\_\_\_

This declaration along with all other details pertaining to 'CNBC-TV18 IRMA Nomination Form' are authorized and validated by the sender and shared using an official email ID of the organization.

This declaration must be filled by an authorized signatory (preferably Chief Executive Officer or Chief Risk Officer) from the participant organization.

*In absence of a duly filled 'Declaration' document, your nomination may not be considered.*